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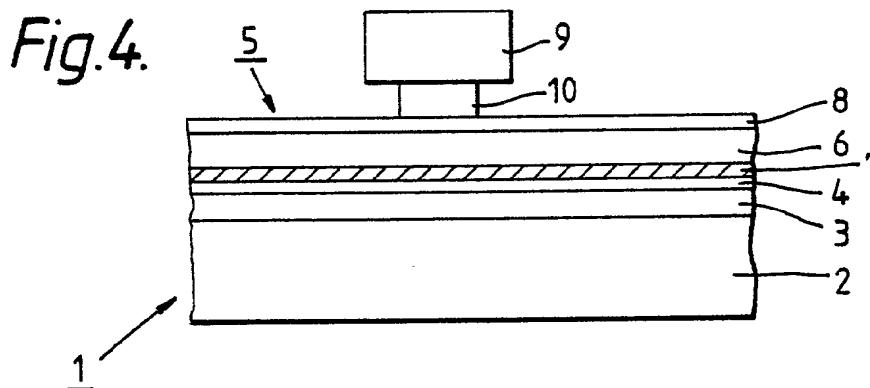
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54 **Receiver sheet.**

57 A thermal transfer printing (TTP) receiver sheet has a substrate, dye receptive receiving layer and a release medium associated with the receiving layer, the release medium being a dye-permeable polyurethane resin which is the reaction product of

(i) an organic polyisocyanate, (ii) an isocyanate-reactive polydialkylsiloxane, and (iii) a polymeric polyol.



## RECEIVER SHEET

BACKGROUND OF THE INVENTIONa) Technical Field of Invention

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This invention relates to thermal transfer printing and, in particular, to a thermal transfer printing receiver sheet for use with an associated donor sheet.

10 b) Background of the Art

Currently available thermal transfer printing (TTP) techniques generally involve the generation of an image on a receiver sheet by thermal transfer of an imaging medium from an associated donor sheet. The donor sheet typically comprises a supporting substrate of paper, synthetic paper or a polymeric film material coated with a transfer layer comprising a sublimable dye incorporated in an ink medium usually comprising a wax and/or a polymeric resin binder. The associated receiver sheet usually comprises a supporting substrate, of a similar material, having on a surface thereof a dye-receptive, polymeric receiving layer. When an assembly, comprising a donor and a receiver sheet positioned with the respective transfer and receiving layers in contact, is selectively heated in a patterned area derived, for example - from an information signal, such as a television signal, dye is transferred from the donor sheet to the dye-receptive layer of the receiver sheet to form therein a monochrome image of the specified pattern. By repeating the process with different monochrome dyes, a full coloured image is produced on the receiver sheet.

To facilitate separation of the imaged sheet from the heated assembly, at least one of the transfer layer and receiving layer may be associated with a release medium, such as a silicone oil.

At the printing or transfer stage in a typical TTP operation both the transfer layer and the receiving layer are likely to be in a molten state, and there is a tendency for the donor sheet to become thermally bonded to the receiver sheet. Such bonding may induce wrinkling or even rupture of the donor sheet when separation thereof from the imaged receiver sheet is attempted. In certain circumstances, total transfer of the dye-containing transfer layer to the receiver sheet may occur, so that the donor sheet is effectively destroyed and portions thereof become firmly adhered to the processed receiver sheet. To avoid such undesirable behaviour, the release medium is required to promote relative movement between the donor sheet and the receiver sheet to permit easy separation of one from the other. However, advancement of the donor sheet, relative to the print-head, in register with the receiver sheet usually depends upon frictional engagement between the donor sheet and the receiver sheet, the latter being mounted on a forwardly displaceable roll or platen. Inadequate bonding between the respective sheets tends to result in loss of registration, and the generation of a poorly defined image. The release medium must therefore also promote frictional bonding between the donor and receiver sheets, and is thus required to satisfy two apparently conflicting criteria.

The commercial success of a TTP system depends, inter alia, on the development of an image having adequate intensity, contrast and definition. Optical Density of the image is therefore an important criterion, but unfortunately, the presence of a release medium may inhibit migration of the dye into the receiving layer, thereby reducing the optical density of the resultant image. The problem of inadequate optical-density is particularly acute if the release medium is modified in any way such that it constitutes a barrier to migration of dye from the donor to the receiver sheet - for example, when the release medium is substantially cross-linked. Likewise, inclusion in the release medium of extraneous materials likely further to inhibit dye migration is undesirable.

Although the intense, localised heating required to effect development of a sharp image may be applied by various techniques, including laser beam imaging, a convenient and widely employed technique of thermal printing involves a thermal print-head, for example, of the dot matrix variety in which each dot is represented by an independent heating element (electronically controlled, if desired). A problem associated with such a contact print-head is the deformation of the receiver sheet resulting from pressure of the respective elements on the heated, softened assembly. This deformation manifests itself as a reduction in the surface gloss of the receiver sheet, and is particularly significant in receiver sheets the surface of which is initially smooth and glossy, ie of the kind which is in demand in the production of high quality art-work. A further problem associated with pressure deformation is the phenomenon of "strike-through" in which an

impression of the image is observed on the rear surface of the receiver sheet, ie the free surface of the substrate remote from the receiving layer.

### 5 c) The Prior Art

Various receiver sheets have been proposed for use in TTP processes. For example, EP-A-0133012 discloses a heat transferable sheet having a substrate and an image-receiving layer thereon, a dye-permeable releasing agent, such as silicone oil, being present either in the image-receiving layer or as a release layer on at least part of the image receiving layer. Materials identified for use in the substrate include condenser paper, glassine paper, parchment paper, or a flexible thin sheet of a paper or plastics film (including polyethylene terephthalate) having a high degree of sizing, although the exemplified substrate material is primarily a synthetic paper - believed to be based on a propylene polymer. The thickness of the substrate is ordinarily of the order of 3 to 50µm. The image-receiving layer may be based on a resin having an ester, urethane, amide, urea, or highly polar linkage.

Related European patent application EP-A-0133011 discloses a heat transferable sheet based on similar substrate and imaging layer materials save that the exposed surface of the receptive layer comprises first and second regions respectively comprising (a) a synthetic resin having a glass transition temperature of from -100 to 20° C and having a polar group, and (b) a synthetic resin having a glass transition temperature at 40° C or above. The receptive layer may have a thickness of from 3 to 50µm when used in conjunction with a substrate layer, or from 60 to 200µm when used independently.

As hereinbefore described, problems associated with commercially available TTP receiver sheets include inadequate intensity and contrast of the developed image, reduction in gloss of the imaged sheet, strike-through of the image to the rear surface of the sheet, and difficulty in maintaining register during the printing cycle. In addition, release media, such as polysiloxane resins, tend to be volatile and therefore lose integrity, at the relatively high temperatures encountered during (1) conventional heat-setting operations to improve dimensional stability of oriented polymeric substrates or (2) the transfer printing operation.

We have now devised a receiver sheet for use in a TTP process which overcomes or substantially eliminates the aforementioned defects.

### 30 Summary of the Invention

Accordingly, the present invention provides a thermal transfer printing receiver sheet for use in association with a compatible donor sheet, the receiver sheet comprising a supporting substrate having, on at least one surface thereof, a dye-receptive receiving layer to receive a dye thermally transferred from the donor sheet, and a release medium associated with the receiving layer, wherein the release medium comprises a dye-permeable polyurethane resin which is the reaction product of:

- i) an organic polyisocyanate,
- 40 ii) an isocyanate-reactive polydialkylsiloxane, and
- iii) a polymeric polyol.

The invention also provides a method of producing a thermal transfer printing receiver sheet for use in association with a compatible donor sheet, comprising forming a supporting substrate having, on at least one surface thereof, a dye-receptive receiving layer to receive a dye thermally transferred from the donor sheet, and providing the receiving layer with a release medium, wherein the release medium comprises a dye-permeable polyurethane resin which is the reaction product of:

- i) an organic polyisocyanate,
- 45 ii) an isocyanate-reactive polydialkylsiloxane, and
- iii) a polymeric polyol.

### 50 Detailed Description and Preferred Embodiments of the Invention

In the context of the invention the following terms are to be understood as having the meanings hereto assigned:

sheet : includes not only a single, individual sheet, but also a continuous web or ribbon-like structure capable of being sub-divided into a plurality of individual sheets.

compatible : in relation to a donor sheet, indicates that the donor sheet is impregnated with a dyestuff which

is capable of migrating, under the influence of heat, into, and forming an image in, the receiving layer of a receiver sheet placed in contact therewith.

opaque : means that the substrate of the receiver sheet is substantially impermeable to visible light.

voided : indicates that the substrate of the receiver sheet comprises a cellular structure containing at least a proportion of discrete, closed cells.

film : is a self-supporting structure capable of independent existence in the absence of a supporting base.

A release medium may be present, in accordance with the invention, either within the receiving layer or, preferably, as a discrete layer on at least part of the exposed surface of the receiving layer remote from the substrate.

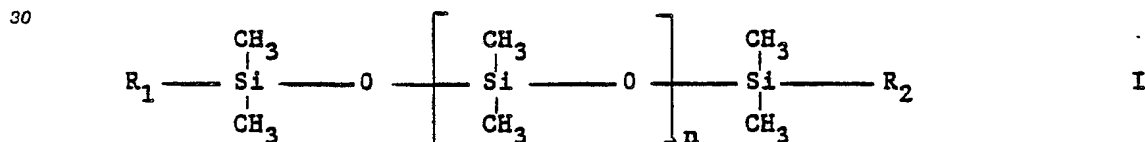
10. The release medium should be permeable to the dye transferred from the donor sheet, and comprises a silicone-urethane polymer resin as hereinafter described.

The organic polyisocyanate component of the polyurethane release medium may be an aliphatic, cycloaliphatic, araliphatic or aromatic polyisocyanate. Examples of suitable polyisocyanates include ethylene diisocyanate, 1,6-hexamethylene diisocyanate, isophorone diisocyanate, cyclohexane-1,4-diisocyanate, 4,4'-dicyclohexylmethane diisocyanate, p-xylylene diisocyanate, 1,4-phenylene diisocyanate, 2,4-toluene diisocyanate, 2,6-toluene diisocyanate, 4,4'-diphenylmethane diisocyanate, 2,4'-diphenylmethane diisocyanate, polymethylene polyphenyl polyisocyanate and 1,5-naphthylene diisocyanate. Mixtures of polyisocyanates may be used and also polyisocyanates which have been modified by the introduction of urethane, allophanate, urea, biuret, carbodiimide, uretonimine or isocyanurate residues.

20. The isocyanate-reactive polydialkylsiloxane may be mono-functional, but conveniently comprises at least two isocyanate-reactive groups.

Polydialkylsiloxanes in which the alkyl group contains from 1 to 6 carbon atoms, particularly a methyl group, and having at least two isocyanate-reactive groups are known. These include polydimethylsiloxanes having two or more reactive groups selected from hydroxy, mercapto, primary amino, secondary amino and carboxy groups. The polydialkylsiloxane may be linear, for example a diol having a hydroxy group at each end, or it may be branched, having three or more isocyanate-reactive groups which may be situated at the various ends of the molecule or may all be located at one end.

Examples of suitable polydimethylsiloxanes include diols of the formula:



wherein: n is an integer from 0 to 100, preferably from 1 to 50, and more preferably from 10 to 20, and

R<sub>1</sub> and R<sub>2</sub> which may be the same or different are

- (CH<sub>2</sub>)<sub>y</sub> - (OX)<sub>z</sub> - OH

40. wherein

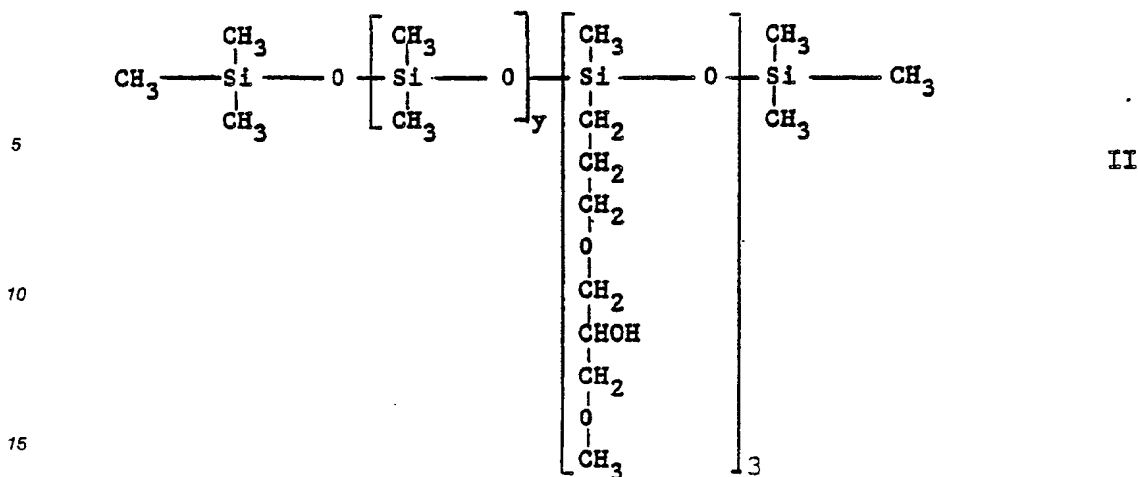
X is - CH<sub>2</sub> - CH<sub>2</sub> - and/or -  $\begin{array}{c} \text{CH} \\ | \\ \text{CH}_3 \end{array}$  - CH<sub>2</sub> - and

y is an integer of from 2 to 12, preferably 2 to 4, and more preferably 3, and

45. z is an integer of from 0 to 25, preferably 5 to 15, and more preferably 11 or 12; and triols of the formula:

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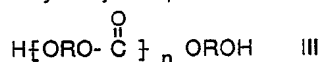
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wherein  $y$  is an integer from 40 to 150, particularly from 50 to 75.

20 The polymeric polyol component of the release medium may be a member of any of the chemical classes of polymeric polyols used or proposed to be used in polyurethane formulations. For example, the polymeric polyol may be a polyester, polyesteramide, polyether, polythioether, polyacetal or polyolefin, but preferably a polycarbonate - which has a relatively high glass transition temperature ( $T_g \approx 140^\circ \text{C}$ ) and confers desirable hardness to the release medium.

25 Polycarbonates are essentially thermoplastics polyesters of carbonic acid with aliphatic or aromatic dihydroxy compounds and may be represented by the general structural formula:

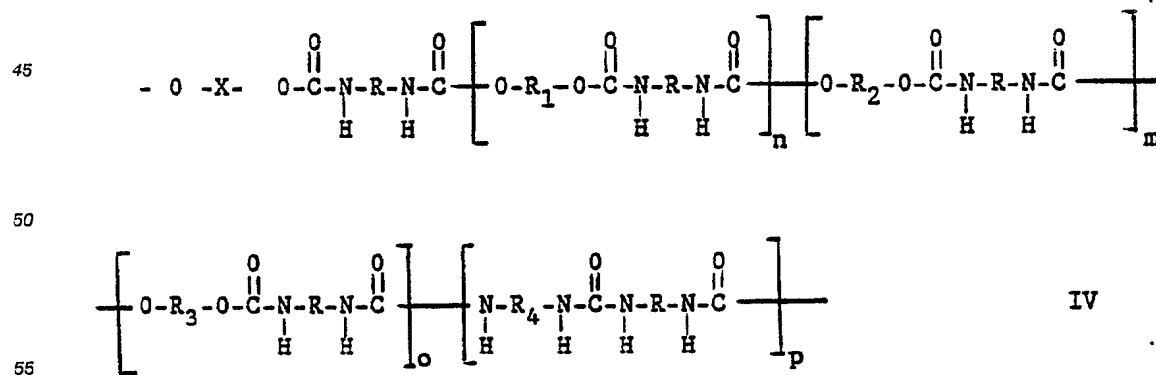


wherein R is a divalent aliphatic or aromatic radical and n is an integer of from 2 to 20. They may be prepared by conventional procedures, such as transesterification of a diester of carbonic acid with an aliphatic or aromatic dihydroxy compound or with mixed aliphatic or aromatic dihydroxy compounds. Typical reactants may comprise 2,2-(4,4'-dihydroxydiphenyl)-propane, commonly known as bisphenol A, 1,1-isopropylidene-bis-(p-phenyleneoxy-2-ethanol), commonly known as ethoxylated bisphenol A, or 1,4-cyclohexanedimethanol.

Preferably, the molecular weight of the polymeric polyol is from 700 to 3000.

If desired, the polyurethane release medium may also comprise one or more compounds containing a plurality of isocyanate-reactive groups. A suitable additional isocyanate-reactive compound comprises an organic polyol, particularly a short chain aliphatic diol or triol, or mixture thereof, having a molecular weight in the range 62 to 6000 and being free from silicon atoms. An organic diamine, particularly an aliphatic diamine, may also be included either independently or together with the organic polyol.

A typical release medium in accordance with the invention thus comprises a urethane-silicone polymer including a structure of formula IV:



wherein: R = a divalent aliphatic and/or cycloaliphatic or aromatic hydrocarbon radical;

X = R<sub>1</sub> or R<sub>2</sub>,

R<sub>1</sub> = a polycarbonate, polyester or polyether group,

R<sub>2</sub> = a silicone chain of molecular weight from 500 to 3000,

R<sub>3</sub> = divalent aliphatic and/or cycloaliphatic hydrocarbon radical,

5 R<sub>4</sub> = divalent aliphatic hydrocarbon radical, optionally containing a carboxyl group,

n and m are integers of from 1 to 20,

o and p are integers of from 0 to 20.

If desired, a catalyst for urethane formation, such as dibutyltin dilaurate and/or stannous octoate may be used to assist formation of the release medium, and a non-reactive solvent may be added before or after  
10 formation of the medium to control viscosity. Suitable non-reactive solvents which may be used include acetone, methylethylketone, dimethylformamide, ethylene carbonate, propylene carbonate, diglyme, N-methylpyrrolidone, ethyl acetate, ethylene and propylene glycol diacetates, alkyl ethers of ethylene and propylene glycol monoacetates, toluene, xylene and sterically hindered alcohols such as t-butanol and diacetone alcohol. The preferred solvents are water-miscible solvents such as N-methylpyrrolidone,  
15 dimethyl sulphoxide and dialkyl ethers of glycol acetates or mixtures of N-methylpyrrolidone and methyl ethyl ketone. Other suitable solvents include vinyl monomers which are subsequently polymerised.

The polyurethane resins of the invention are water dispersible, and a release medium comprising an aqueous polyurethane dispersion may be prepared by dispersing the water dispersible, polyurethane resin in an aqueous medium, preferably in the presence of an effective amount of a polyfunctional active  
20 hydrogen-containing chain extender.

The resin may be dispersed in water using techniques well known in the art. Preferably, the resin is added to the water with agitation or, alternatively, water may be stirred into the resin.

The polyfunctional active hydrogen-containing chain extender, if employed, is preferably water-soluble, and water itself may be effective. Other suitable extenders include a polyol, an amino alcohol, ammonia, a  
25 primary or secondary aliphatic, alicyclic, aromatic, araliphatic or heterocyclic amine especially a diamine, hydrazine or a substituted hydrazine.

Examples of suitable chain extenders useful herein include ethylene diamine, diethylene triamine, triethylene tetramine, propylene diamine, butylene diamine, hexamethylene diamine, cyclohexylene diamine, piperazine, 2-methyl piperazine, phenylene diamine, tolylene diamine, xylylene diamine, tris (2-aminoethyl) amine,  
30 3,3'-dinitrobenzidine, 4,4'-methylenebis (2-chloroaniline), 3,3'-dichloro-4,4'-bi-phenyl diamine, 2,6-diaminopyridine, 4,4'-diaminodiphenylmethane, menthane diamine, m-xylene diamine, isophorone diamine, and adducts of diethylene triamine with acrylate or its hydrolyzed products. Also materials such as hydrazine, azines such as acetone azine, substituted hydrazines such as, for example, dimethyl hydrazine, 1,6-hexamethylene-bis-hydrazine, carbodihydrazine, hydrazides of dicarboxylic acids and sulfonic acids such as adipic acid mono- or dihydrazide, oxalic acid dihydrazide, isophthalic acid dihydrazide, tartaric acid dihydrazide, 1,3-phenylene disulfonic acid dihydrazide, omega-amino-caproic acid dihydrazide, hydrazides made by reacting lactones with hydrazines such as gamma-hydroxybutyric hydrazide, bis-semi-carbazide, bis-hydrazide carbonic esters of glycols such as any of the glycols mentioned above.

Where the chain extender is other than water, for example a diamine or hydrazine, it may be added to the aqueous dispersion of polyurethane resin or, alternatively, it may already be present in the aqueous  
45 medium when the resin is dispersed therein.

Desirably, the polyfunctional chain extender should be capable of intra-molecular cross-linking, to improve durability and resistance to solvents. Suitable resinous intra-molecular cross-linking agents comprise epoxy resins, alkyd resins and/or condensation products of an amine, eg melamine, diazine, urea, cyclic ethylene urea, cyclic propylene urea, thiourea, cyclic ethylene thiourea, alkyl melamines, aryl  
50 melamines, benzo guanamines, guanamines, alkyl guanamines and aryl guanamines with an aldehyde, eg formaldehyde. A useful condensation product is that of melamine with formaldehyde. The condensation product may optionally be partially or totally alkoxyated, the alkoxy group preferably being of low molecular weight, such as methoxy, ethoxy, n-butoxy or iso-butoxy. A hexamethoxymethyl melamine condensate is particularly suitable. Another particularly suitable cross-linking agent is a polyaziridine.

Such polyfunctional extenders preferably exhibit at least trifunctionality (ie three functional groups) to promote inter-molecular cross-linking with the functional groups present in the polyurethane resin and improve adhesion of the release medium layer to the receiving layer.

In a preferred embodiment of the invention the release medium comprises a chain extender and a

cross-linking agent.

The chain extension may be conducted at elevated, reduced or ambient temperatures. Convenient temperatures are from about 5° to 95° C or more, preferably from about 10° to about 45° C.

The amount of chain extender employed should be approximately equivalent to the free-NCO groups in the resin, the ratio of active hydrogens in the chain extender to NCO groups in the resin preferably being in the range from 1.0 to 2.0:1.

A catalyst is preferably introduced into the release medium to accelerate the intra-molecular cross-linking action of the resinuous cross-linking agent and also to accelerate its inter-molecular cross-linking action with cross-linkable functional groups in the polyurethane resin. Preferred catalysts for cross-linking melamine formaldehyde include ammonium chloride, ammonium nitrate, ammonium thiocyanate, ammonium dihydrogen phosphate, diammonium hydrogen phosphate, para toluene sulphonic acid, sulphuric acid, maleic acid stabilised by reaction with a base, ammonium para toluene sulphonate and morpholinium para toluene sulphonate.

The release medium may, if desired, additionally comprise a particulate adjuvant. Suitably, the adjuvant comprises an organic or an inorganic particulate material having an average particle size not exceeding 0.75  $\mu\text{m}$  and being thermally stable at the temperatures encountered during the TTP operation. For example, during the transfer operation the receiving layer may encounter temperatures of up to about 290° C for a period of the order of a few milliseconds (ms). Desirably, therefore, the adjuvant is thermally stable on exposure to a temperature of 290° C for a period of up to 50 ms. Because of the brief exposure time to elevated temperatures the adjuvant may comprise a material having a nominal melting or softening temperature of less than 290° C. For example, the adjuvant may comprise a particulate organic material, especially a polymeric material such as a polyolefin, polyamide or an acrylic or methacrylic polymer. Polymethylmethacrylate (crystalline melting temperature: 160° C) is suitable. Preferably, however, the adjuvant comprises an inorganic particulate material, especially a metal- or metalloid-oxide such as alumina, titania and silica.

The amount of adjuvant required in the release medium will vary depending on the required surface characteristics, and in general will be such that the weight ratio of adjuvant to release agent will be in a range of from 0.25:1 to 2.0:1. Higher adjuvant levels tend to detract from the optical characteristics of the receiver sheet and to inhibit penetration of dye through the release medium, while lower levels are usually inadequate to confer the desired surface frictional behaviour. Preferably, the weight ratio adjuvant : release agent is in a range of from 0.5:1 to 1.5:1, and especially from 0.75:1 to 1.25:1, for example 1:1.

To confer the desired control of surface frictional characteristics the average particle size of the adjuvant should not exceed 0.75  $\mu\text{m}$ . Particles of greater average size also detract from the optical characteristics, such as haze, of the receiver sheet. Desirably, the average particle size of the adjuvant is from 0.001 to 0.5  $\mu\text{m}$  and preferably from 0.005 to 0.2  $\mu\text{m}$ .

The required frictional characteristics of the release medium will depend, inter alia, on the nature of the compatible donor sheet employed in the TTP operation, but in general satisfactory behaviour has been observed with a receiver and associated release medium which confers a surface coefficient of static friction of from 0.075 to 0.75, and preferably from 0.1 to 0.5.

The release medium may be blended into the receiving layer in an amount up to about 50% by weight thereof, or applied to the exposed surface thereof in an appropriate solvent or dispersant and thereafter dried, for example - at temperatures of from 100 to 160° C, preferably from 100 to 120° C, to yield a cured release layer having a dry thickness of up to about 5  $\mu\text{m}$ , preferably from 0.025 to 2.0  $\mu\text{m}$ . Application of the release medium may be effected at any convenient stage in the production of the receiver sheet. Thus, if the substrate of the receiver sheet comprises a biaxially oriented polymeric film, application of a release medium to the surface of the receiving layer may be effected off-line to a post-drawn film or as an in-line inter-draw coating applied between the forward and transverse film-drawing stages (as hereinafter described).

If desired, the release medium may additionally comprise a surfactant to promote spreading of the medium and to improve the permeability thereof to dye transferred from the donor sheet.

A release medium of the kind described yields a receiver sheet having excellent optical characteristics, devoid of surface blemishes and imperfections, which is permeable to a variety of dyes, and confers multiple, sequential release characteristics whereby a receiver sheet may be successively imaged with different monochrome dyes to yield a full coloured image. In particular, register of the donor and receiver sheets is readily maintained during the TTP operation without risk of wrinkling, rupture or other damage being sustained by the respective sheets.

The substrate of a receiver sheet according to the invention may be formed from paper, but preferably from any thermoplastics, film-forming, polymeric material. Suitable materials include a homopolymer or a

copolymer of a 1-olefin, such as ethylene, propylene or butene-1, a polyamide, a polycarbonate, and particularly a synthetic linear polyester which may be obtained by condensing one or more dicarboxylic acids or their lower alkyl (up to 6 carbon atoms) diesters, eg terephthalic acid, isophthalic acid, phthalic acid, 2,5-, 2,6- or 2,7-naphthalenedicarboxylic acid, succinic acid, sebacic acid, adipic acid, azelaic-acid, 5 4,4'-diphenyldicarboxylic acid, hexahydroterephthalic acid or 1,2-bis-p-carboxyphenoxyethane (optionally with a monocarboxylic acid, such as pivalic acid) with one or more glycols, eg ethylene glycol, 1,3-propanediol, 1,4-butanediol, neopentyl glycol and 1,4-cyclohexanedimethanol. A polyethylene terephthalate film is particularly preferred, especially such a film which has been biaxially oriented by sequential stretching in two mutually perpendicular directions, typically at a temperature in the range 70 to 125 °C, and preferably heat set, typically at a temperature in the range 150 to 250 °C, for example - as described in 10 British patent 838 708.

A film substrate for a receiver sheet according to the invention may be uniaxially oriented, but is preferably biaxially oriented by drawing in two mutually perpendicular directions in the plane of the film to achieve a satisfactory combination of mechanical and physical properties. Formation of the film may be 15 effected by any process known in the art for producing an oriented polymeric film - for example, a tubular or flat film process.

In a tubular process simultaneous biaxial orientation may be effected by extruding a thermoplastics polymeric tube which is subsequently quenched, reheated and then expanded by internal gas pressure to induce transverse orientation, and withdrawn at a rate which will induce longitudinal orientation.

20 In the preferred flat film process a film-forming polymer is extruded through a slot die and rapidly quenched upon a chilled casting drum to ensure that the polymer is quenched to the amorphous state. Orientation is then effected by stretching the quenched extrudate in at least one direction at a temperature above the glass transition temperature of the polymer. Sequential orientation may be effected by stretching a flat, quenched extrudate firstly in one direction, usually the longitudinal direction, ie the forward direction 25 through the film stretching machine, and then in the transverse direction. Forward stretching of the extrudate is conveniently effected over a set of rotating rolls or between two pairs of nip rolls, transverse stretching then being effected in a stenter apparatus. Stretching is effected to an extent determined by the nature of the film-forming polymer, for example - a polyester is usually stretched so that the dimension of the oriented polyester film is from 2.5 to 4.5 its original dimension in the, or each, direction of stretching.

30 A stretched film may be, and preferably is, dimensionally stabilised by heat-setting under dimensional restraint at a temperature above the glass transition temperature of the film-forming polymer but below the melting temperature thereof, to induce crystallisation of the polymer.

In a preferred embodiment of the invention, the receiver sheet comprises an opaque substrate. Opacity depends, inter alia, on the film thickness and filler content, but an opaque substrate film will preferably 35 exhibit a Transmission Optical Density (Sakura Densitometer; type PDA 65; transmission mode) of from 0.75 to 1.75, and particularly of from 1.2 to 1.5.

A receiver sheet substrate is conveniently rendered opaque by incorporation into the film-forming synthetic polymer of an effective amount of an opacifying agent. However, in a further preferred embodiment of the invention the opaque substrate is voided, as hereinbefore defined. It is therefore preferred to 40 incorporate into the polymer an effective amount of an agent which is capable of generating an opaque, voided substrate structure. Suitable voiding agents, which also confer opacity, include an incompatible resin filler, a particulate inorganic filler or a mixture of two or more such fillers.

By an "incompatible resin" is meant a resin which either does not melt, or which is substantially immiscible with the polymer, at the highest temperature encountered during extrusion and fabrication of the 45 film. Such resins include polyamides and olefin polymers, particularly a homo- or co-polymer of a mono-alpha-olefin containing up to 6 carbon atoms in its molecule, for incorporation into polyester films, or polyesters of the kind hereinbefore described for incorporation into polyolefin films.

Particulate inorganic fillers suitable for generating an opaque, voided substrate include conventional inorganic pigments and fillers, and particularly metal or metalloid oxides, such as alumina, silica and titania, 50 and alkaline earth metal salts, such as the carbonates and sulphates of calcium and barium. Barium sulphate is a particularly preferred filler which also functions as a voiding agent.

Suitable fillers may be homogeneous and consist essentially of a single filler material or compound, such as titanium dioxide or barium sulphate alone. Alternatively, at least a proportion of the filler may be heterogeneous, the primary filler material being associated with an additional modifying component. For 55 example, the primary filler particle may be treated with a surface modifier, such as a pigment, soap, surfactant, coupling agent or other modifier to promote or alter the degree to which the filler is compatible with the substrate polymer.

Production of a substrate having satisfactory degrees of opacity, voiding and whiteness requires that the



filler should be finely-divided, and the average particle size thereof is desirably from 0.1 to 10  $\mu\text{m}$  provided that the actual particle size of 99.9% by number of the particles does not exceed 30  $\mu\text{m}$ . Preferably, the filler has an average particle size of from 0.1 to 1.0  $\mu\text{m}$ , and particularly preferably from 0.2 to 0.75  $\mu\text{m}$ . Decreasing the particle size improves the gloss of the substrate.

5 Particle sizes may be measured by electron microscope, coulter counter or sedimentation analysis and the average particle size may be determined by plotting a cumulative distribution curve representing the percentage of particles below chosen particle sizes.

It is preferred that none of the filler particles incorporated into the film support according to this invention should have an actual particle size exceeding 30  $\mu\text{m}$ . Particles exceeding such a size may be removed by sieving processes which are known in the art. However; sieving operations are not always totally successful in eliminating all particles greater than a chosen size. In practice, therefore, the size of 99.9% by number of the particles should not exceed 30  $\mu\text{m}$ . Most preferably the size of 99.9% of the particles should not exceed 20  $\mu\text{m}$ .

15 Incorporation of the opacifying/voiding agent into the polymer substrate may be effected by conventional techniques - for example, by mixing with the monomeric reactants from which the polymer is derived, or by dry blending with the polymer in granular or chip form prior to formation of a film therefrom.

The amount of filler, particularly of barium sulphate, incorporated into the substrate polymer desirably should be not less than 5% nor exceed 50% by weight, based on the weight of the polymer. Particularly satisfactory levels of opacity and gloss are achieved when the concentration of filler is from about 8 to 30%, and especially from 15 to 20%, by weight, based on the weight of the substrate polymer.

20 Other additives, generally in relatively small quantities, may optionally be incorporated into the film substrate. For example, china clay may be incorporated in amounts of up to 25% to promote voiding, optical brighteners in amounts up to 1500 parts per million to promote whiteness, and dyestuffs in amounts of up to 10 parts per million to modify colour, the specified concentrations being by weight, based on the weight of the substrate polymer.

25 Thickness of the substrate may vary depending on the envisaged application of the receiver sheet but, in general, will not exceed 250  $\mu\text{m}$ , and will preferably be in a range from 50 to 190  $\mu\text{m}$ , particularly from 145 to 180  $\mu\text{m}$ .

A receiver sheet having a substrate of the kind hereinbefore described offers numerous advantages including (1) a degree of whiteness and opacity essential in the production of prints having the intensity, contrast and feel of high quality art-work, (2) a degree of rigidity and stiffness contributing to improved resistance to surface deformation and image strike-through associated with contact with the print-head and (3) a degree of stability, both thermal and chemical, conferring dimensional stability and curl-resistance.

35 When TTP is effected directly onto the surface of a voided substrate of the kind hereinbefore described, the optical density of the developed image tends to be low and the quality of the resultant print is generally inferior. A receiving layer is therefore required on at least one surface of the substrate, and desirably exhibits (1) a high receptivity to dye thermally transferred from a donor sheet, (2) resistance to surface deformation from contact with the thermal print-head to ensure the production of an acceptably glossy print, and (3) the ability to retain a stable image.

40 A receiving layer satisfying the aforementioned criteria comprises a dye-receptive, synthetic thermoplastics polymer. The morphology of the receiving layer may be varied depending on the required characteristics. For example, the receiving polymer may be of an essentially amorphous nature to enhance optical density of the transferred image, essentially crystalline to reduce surface deformation, or partially amorphous/crystalline to provide an appropriate balance of characteristics.

45 The thickness of the receiving layer may vary over a wide range but generally will not exceed 50  $\mu\text{m}$ . The dry thickness of the receiving layer governs, inter alia, the optical density of the resultant image developed in a particular receiving polymer, and preferably is within a range of from 0.5 to 25  $\mu\text{m}$ . In particular, it has been observed that by careful control of the receiving layer thickness to within a range of from 0.5 to 10  $\mu\text{m}$ , in association with an opaque/voided polymer substrate layer of the kind herein described, a surprising and significant improvement to resistance to surface deformation is achieved, without significantly detracting from the optical density of the transferred image.

55 A dye-receptive polymer for use in the receiving layer, and offering adequate adhesion to the substrate layer, suitably comprises a polyester resin, particularly a copolyester resin derived from one or more dibasic aromatic carboxylic acids, such as terephthalic acid, isophthalic acid and hexahydroterephthalic acid, and one or more glycols, such as ethylene glycol, diethylene glycol, triethylene glycol and neopentyl glycol. Typical copolyesters which provide satisfactory dye-receptivity and deformation resistance are those of ethylene terephthalate and ethylene isophthalate, especially in the molar ratios of from 50 to 90 mole % ethylene terephthalate and correspondingly from 50 to 10 mole % ethylene isophthalate. Preferred

copolyesters comprise from 65 to 85 mole % ethylene terephthalate and from 35 to 15 mole % ethylene isophthalate especially a copolyester of about 82 mole % ethylene terephthalate and about 18 mole % ethylene isophthalate.

Formation of a receiving layer on the substrate layer may be effected by conventional techniques - for example, by casting the polymer onto a preformed substrate layer. Conveniently however, formation of a composite sheet (substrate and receiving layer) is effected by coextrusion, either by simultaneous coextrusion of the respective film-forming layers through independent orifices of a multi-orifice die, and thereafter uniting the still molten layers, or, preferably, by single-channel coextrusion in which molten streams of the respective polymers are first united within a channel leading to a die manifold, and thereafter extruded together from the die orifice under conditions of streamline flow without intermixing thereby to produce a composite sheet.

A coextruded sheet is stretched to effect molecular orientation of the substrate, and preferably heat-set, as hereinbefore described. Generally, the conditions applied for stretching the substrate layer will induce partial crystallisation of the receiving polymer and it is therefore preferred to heat set under dimensional restraint at a temperature selected to develop the desired morphology of the receiving layer. Thus, by effecting heat-setting at a temperature below the crystalline melting temperature of the receiving polymer and permitting or causing the composite to cool, the receiving polymer will remain essentially crystalline. However, by heat-setting at a temperature greater than the crystalline melting temperature of the receiving polymer, the latter will be rendered essentially amorphous. Heat-setting of a receiver sheet comprising a polyester substrate and a copolyester receiving layer is conveniently effected at a temperature within a range of from 175 to 200 °C to yield a substantially crystalline receiving layer, or from 200 to 250 °C to yield an essentially amorphous receiving layer.

In a preferred embodiment of the invention a receiver sheet is rendered resistant to ultra violet (UV) radiation by incorporation of a UV stabiliser. Although the stabiliser may be present in any of the layers of the receiver sheet, it is preferably present in the receiving layer. The stabiliser may comprise an independent additive or, preferably, a copolymerised residue in the chain of the receiving polymer. In particular, when the receiving polymer is a polyester, the polymer chain conveniently comprises a copolymerised esterification residue of an aromatic carbonyl stabiliser. Suitably, such esterification residues comprise the residue of a di(hydroxyalkoxy) coumarin - as disclosed in European Patent Publication EP-A-31202, the residue of a 2-hydroxy-di(hydroxyalkoxy) benzophenone - as disclosed in EP-A-31203, the residue of a bis(hydroxyalkoxy)xanth-9-one - as disclosed in EP-A-6686, and, particularly preferably, a residue of a hydroxy-bis(hydroxyalkoxy)-xanth-9-one - as disclosed in EP-A-76582. The alkoxy groups in the aforementioned stabilisers conveniently contain from 1 to 10 and preferably from 2 to 4 carbon atoms, for example - an ethoxy group. The content of esterification residue is conveniently from 0.01 to 30%, and preferably from 0.05 to 10%, by weight of the total receiving polymer. A particularly preferred residue is a residue of a 1-hydroxy-3, 6-bis(hydroxyalkoxy)xanth-9-one.

The invention is illustrated by reference to the accompanying drawings in which:

Figure 1 is a schematic elevation (not to scale) of a portion of a TTP receiver sheet 1 comprising a polymeric supporting substrate 2 having, on one surface thereof, a dye-receptive receiving layer 3 incorporating a release medium,

Figure 2 is a similar, fragmentary schematic elevation in which the receiver sheet comprises an independent release layer 4.

Figure 3 is a schematic, fragmentary elevation (not to scale) of a compatible TTP donor sheet 5 comprising a polymeric substrate 6 having on one surface (the front surface) thereof a transfer layer 7 comprising a sublimable dye in a resin binder, and on a second surface (the rear surface) thereof a polymeric protective layer 8,

Figure 4 is a schematic elevation of a TTP process, and

Figure 5 is a schematic elevation of an imaged receiver sheet.

Referring to the drawings, and in particular to Figure 4, a TTP process is effected by assembling a donor sheet and a receiver sheet with the respective transfer layer 7 and release layer 4 in contact. An electrically-activated thermal print-head 9 comprising a plurality of print elements 10 (only one of which is shown) is then placed in contact with the protective layer of the donor sheet. Energisation of the print-head causes selected individual print-elements 10 to become hot, thereby causing dye from the underlying region of the transfer layer to sublime through dye-permeable release layer 4 and into receiving layer 3 where it forms an image 11 of the heated element(s). The resultant imaged receiver sheet, separated from the donor sheet, is illustrated in Figure 5 of the drawings.

By advancing the donor sheet relative to the receiver sheet, and repeating the process, a multi-colour image of the desired form may be generated in the receiving layer.

The invention is further illustrated by reference to the following Examples.

### Example 1

To prepare a receiver sheet, separate streams of a first polymer comprising polyethylene terephthalate containing 18% by weight, based on the weight of the polymer, of a finely-divided particulate barium sulphate filler having an average particle size of 0.5  $\mu\text{m}$  and a second polymer comprising an unfilled copolyester of 82 mole % ethylene terephthalate and 18 mole % ethylene isophthalate were supplied from separate extruders to a single-channel coextrusion assembly, and extruded through a film-forming die onto a water-cooled rotating, quenching drum to yield an amorphous cast composite extrudate. The cast extrudate was heated to a temperature of about 80 °C and then stretched longitudinally at a forward draw ratio of 3.2:1. The longitudinally stretched film was then heated to a temperature of about 96 °C and stretched transversely in a stenter oven at a draw ratio of 3.4:1. The stretched film was finally heat-set under dimensional restraint in a stenter oven at a temperature of about 225 °C.

The resultant sheet comprised an opaque, voided primary layer of filled polyethylene terephthalate of about 150  $\mu\text{m}$  thickness having on one surface thereof a receiving layer of the isophthalate-terephthalate copolymer of about 7  $\mu\text{m}$  thickness. By virtue of the heat-setting temperature employed, the receiving layer was of an essentially amorphous nature.

The oriented receiver sheet was then coated off-line with an aqueous dispersion of a release medium comprising:

Permethane UE-41222	7.0g
Synperonic N (an ethoxylated nonyl phenol, supplied by ICI)	0.5g
Distilled Water	92.5g

Permethane UE-41222 is a polycarbonate-silicone-urethane resin supplied by Permethane Coatings of Massachusetts, USA. The coated sheet was dried in an air oven at a temperature of 160 °C for 50 seconds to provide a cured release layer of about 0.1  $\mu\text{m}$  thickness on the exposed surface of the receiving layer.

The printing characteristics of the receiver sheet were assessed using a donor sheet comprising a biaxially oriented polyethylene terephthalate substrate of about 6  $\mu\text{m}$  thickness having on one surface thereof a transfer layer of about 2  $\mu\text{m}$  thickness comprising a magenta dye in a cellulosic resin binder.

A sandwich comprising a sample of the donor and receiver sheets with the respective transfer and receiving layers in contact was placed on the rubber-covered drum of a thermal transfer printing machine and contacted with a print head comprising a linear array of pixels spaced apart at a linear density of 6/mm. On selectively heating the pixels in accordance with a pattern information signal to a temperature of about 350 °C (power supply 0.32 watt/pixel) for a period of 10 milliseconds (ms), magenta dye was transferred from the transfer layer of the donor sheet to form a corresponding image of the heated pixels in the receiving layer of the receiver sheet.

After stripping the transfer sheet from the receiver sheet, the band image on the latter was assessed using a Sakura Densitometer, type PDA 65, operating in the reflection mode with a green filter. The measured reflection optical density (ROD) of the inked image was 2.13.

There was no evidence of total transfer or pressure transfer onto the receiver sheet of portions of the donor sheet which therefore remained of utility for the production of further images.

### Example 2

This is a comparative Example not according to the invention.

The procedure of Example 1 was repeated, save that a release layer was not deposited on the receiving layer.

When tested as described in Example 1, the observed ROD of the resultant magenta image was 2.35. However, the absence of a release layer was found to increase the difficulty experienced in separating the donor sheet from the receiver sheet, and total transfer and pressure transfer of the dye-containing layer to the receiver sheet was observed to occur.

When imaged under identical conditions, a receiver sheet comprising a single layer of the barium sulphate-filled polyethylene terephthalate polymer (ie without a coextruded layer of the copolyester) formed

an image having a measured ROD of 1.4.

### Examples 3 to 9

The procedure of Example 1 was repeated to yield a series of receiver sheets, save that the applied release medium respectively comprised the aqueous dispersions specified in the following Table.

The printing characteristics of the receiver sheets were assessed using donor sheets as described in Example 1. Reflection optical densities by the described technique are recorded in the Table.

Table

Ex	Permethane UE-41222 (g)	Synperonic N (g)	Polyaziridine cross - linker (g)	Distilled Water (g)	Reflection Optical Density (magenta)
3	7.5	0.5	1.5	91.0	2.11
4	7.5	1.0	0	91.5	2.28
5	7.5	1.0	1.5	90.0	2.27
6	10.0	0.5	0	89.5	2.12
7	10.0	0.5	2	87.5	2.12
8	10.0	1.0	0	89.5	2.21
9	10.0	1.0	2	87.0	2.16

There was no evidence of either total or pressure transfer of the donor sheet to the receiver sheet.

### Examples 10 to 13

The procedure of Example 1 was repeated save that the release media respectively comprised the aqueous dispersions specified in the following Table, and that these media were applied as inter-draw coatings between the longitudinal and transverse film stretching operations.

Recorded reflection optical densities are shown in the accompanying Table.

Table

Ex	Permethane UE-41222 (g)	Synperonic N (g)	Polyaziridine cross - linker (g)	Distilled Water (g)	Reflection Optical Density (magenta)
10	7.5	0.5	0	92.0	2.22
11	7.5	0.5	1.5	90.5	2.18
12	5.0	0.5	0	94.5	2.27
13	5.0	0.5	1.0	93.5	2.26

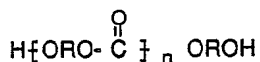
Again, there was no evidence of total or pressure transfer of the donor sheet onto the receiver sheet.

### Claims

1. A thermal transfer printing receiver sheet for use in association with a compatible donor sheet, the receiver sheet comprising a supporting substrate having, on at least one surface thereof, a dye-receptive receiving layer to receive a dye thermally transferred from the donor sheet, and a release medium associated with the receiving layer, characterised in that the release medium comprises a dye-permeable polyurethane resin which is the reaction product of:

- (i) an organic polyisocyanate
- (ii) an isocyanate-reactive polydialkylsiloxane, and
- (iii) a polymeric polyol.

2. A receiver sheet according to claim 1 wherein the polymeric polyol comprises a polycarbonate of formula



5 wherein R is a divalent aliphatic or aromatic radical and n is an integer of from 2 to 20.

3. A receiver sheet according to either of claims 1 and 2 wherein the release medium comprises a resin of general formula IV herein.

4. A receiver sheet according to any one of the preceding claims wherein the release medium additionally comprises a polyfunctional active halogen-containing chain extender.

10 5. A receiver sheet according to any one of the preceding claims wherein the release medium additionally comprises a particulate adjuvant.

6. A receiver sheet according to any one of the preceding claims wherein the adjuvant comprises particles of a metal-or metalloid-oxide.

7. A receiver sheet according to any one of the preceding claims wherein the substrate contains an effective amount of a voiding agent comprising an incompatible resin filler or a particulate inorganic filler.

15 8. A receiver sheet according to claim 7 wherein the filler comprises barium sulphate.

9. A receiver sheet according to any one of the preceding claims wherein the dye-receptive polymer comprises a copolyester.

10. A receiver sheet according to any one of the preceding claims wherein the release medium comprises a release layer on at least part of the surface of the receiving layer remote from the substrate.

11. A method of producing a thermal transfer printing receiver sheet for use in association with a compatible donor sheet, comprising forming a supporting substrate having, on at least one surface thereof, a dye-receptive receiving layer to receive a dye thermally transferred from the donor sheet, and providing the receiving layer with a release medium characterized in that the release medium comprises a dye-permeable polyurethane resin which is the reaction product of:

- (i) an organic polyisocyanate
- (ii) an isocyanate-reactive polydialkylsiloxane, and
- (iii) a polymeric polyol.

12. A method according to claim 11 comprising applying the release medium to form a discrete release layer on at least part of the surface of the receiving layer remote from the substrate.

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